

Procurement Report for Ontario Tobacco Asset Securitization Corporation  
 Fiscal Year Ending: 12/31/2022

Run Date: 03/30/2023  
 Status: CERTIFIED  
 Certified Date : 03/30/2023

**Procurement Information:**

Question	Response	URL (If Applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.co.ontario.ny.us">www.co.ontario.ny.us</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a. If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

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**Procurement Transactions Listing:**

1.	<b>Vendor Name</b>	Bank of America	<b>Address Line1</b>	100 North Tryon Street
	<b>Type of Procurement</b>	Financial Services	<b>Address Line2</b>	
	<b>Award Process</b>	Non Contract Procurement/Purchase Order	<b>City</b>	CHARLOTTE
	<b>Award Date</b>		<b>State</b>	NC
	<b>End Date</b>		<b>Postal Code</b>	28255
	<b>Fair Market Value</b>		<b>Plus 4</b>	
	<b>Amount</b>		<b>Province/Region</b>	
	<b>Amount Expended For Fiscal Year</b>	\$5,526.06	<b>Country</b>	United States
	<b>Explain why the Fair Market Value is Less than the Amount</b>		<b>Procurement Description</b>	Bond Rating Fees

2.	<b>Vendor Name</b>	Eastern Shore Associates	<b>Address Line1</b>	101 Cayuga Street
	<b>Type of Procurement</b>	Other	<b>Address Line2</b>	
	<b>Award Process</b>	Non Contract Procurement/Purchase Order	<b>City</b>	FULTON
	<b>Award Date</b>		<b>State</b>	NY
	<b>End Date</b>		<b>Postal Code</b>	13069
	<b>Fair Market Value</b>		<b>Plus 4</b>	
	<b>Amount</b>		<b>Province/Region</b>	
	<b>Amount Expended For Fiscal Year</b>	\$6,588.00	<b>Country</b>	United States
	<b>Explain why the Fair Market Value is Less than the Amount</b>		<b>Procurement Description</b>	D&O Insurance

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3.	<b>Vendor Name</b>	Marsh USA	<b>Address Line1</b>	6500 Sheridan Drive
	<b>Type of Procurement</b>	Other Professional Services	<b>Address Line2</b>	Suite 114, Sheridan Meadows Corporate Pa
	<b>Award Process</b>	Non Contract Procurement/Purchase Order	<b>City</b>	WILLIAMSVILLE
	<b>Award Date</b>		<b>State</b>	NY
	<b>End Date</b>		<b>Postal Code</b>	14221
	<b>Fair Market Value</b>		<b>Plus 4</b>	
	<b>Amount</b>		<b>Province/Region</b>	
	<b>Amount Expended For Fiscal Year</b>	\$5,142.14	<b>Country</b>	United States
	<b>Explain why the Fair Market Value is Less than the Amount</b>		<b>Procurement Description</b>	Director and Officers Liability Insurance

4.	<b>Vendor Name</b>	Ontario County	<b>Address Line1</b>	3019 County Complex Drive
	<b>Type of Procurement</b>	Other	<b>Address Line2</b>	
	<b>Award Process</b>	Non Contract Procurement/Purchase Order	<b>City</b>	CANANDAIGUA
	<b>Award Date</b>		<b>State</b>	NY
	<b>End Date</b>		<b>Postal Code</b>	14424
	<b>Fair Market Value</b>		<b>Plus 4</b>	
	<b>Amount</b>		<b>Province/Region</b>	
	<b>Amount Expended For Fiscal Year</b>	\$15,000.00	<b>Country</b>	United States
	<b>Explain why the Fair Market Value is Less than the Amount</b>		<b>Procurement Description</b>	Rent, office supplies, staff time

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5. Vendor Name	Raymond F. Wager, a division of Mengel, Metzger Barr & Co	Address Line1	100 Chestnut St
Type of Procurement	Financial Services	Address Line2	Suite 1200
Award Process	Non Contract Procurement/Purchase Order	City	ROCHESTER
Award Date		State	NY
End Date		Postal Code	14604
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$6,025.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Auditing Services

6. Vendor Name	SunTrust Bank	Address Line1	303 Peach Street, Northeast
Type of Procurement	Financial Services	Address Line2	
Award Process	Non Contract Procurement/Purchase Order	City	ATLANTA
Award Date		State	GA
End Date		Postal Code	30308
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$5,526.06	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Bond Rating Fees

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7.	<b>Vendor Name</b>	Wells Fargo	<b>Address Line1</b>	420 Montgomery Street
	<b>Type of Procurement</b>	Financial Services	<b>Address Line2</b>	
	<b>Award Process</b>	Non Contract Procurement/Purchase Order	<b>City</b>	SAN FRANCISCO
	<b>Award Date</b>		<b>State</b>	CA
	<b>End Date</b>		<b>Postal Code</b>	94104
	<b>Fair Market Value</b>		<b>Plus 4</b>	
	<b>Amount</b>		<b>Province/Region</b>	
	<b>Amount Expended For Fiscal Year</b>	\$9,237.00	<b>Country</b>	United States
	<b>Explain why the Fair Market Value is Less than the Amount</b>		<b>Procurement Description</b>	Financial Services Fees

Additional Comments