

Procurement Report for Ontario Tobacco Asset Securitization Corporation

Fiscal Year Ending: 12/31/2018

Run Date: 04/30/2019

Status: CERTIFIED

Certified Date : 03/22/2019

Procurement Information:

Question		Response	URL (If Applicable)
1.	Does the Authority have procurement guidelines?	Yes	http://www.co.ontario.ny.us/435/OTASC
2.	Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3.	Does the Authority allow for exceptions to the procurement guidelines?	No	
4.	Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5.	Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6.	Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?	No	
7.	Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8.	Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a.	If Yes, was a record made of this impermissible contact?		
9.	Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

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Procurement Transactions Listing:

1. Vendor Name	BLX Group	Address Line1	4010 West Boy Scout Boulevard, Suite 280
Type of Procurement	Financial Services	Address Line2	
Award Process	Non Contract Procurement/Purchase Order	City	TAMPA
Award Date		State	FL
End Date		Postal Code	33607
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$6,737.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Bond Logistic Annual Fees

2. Vendor Name	Moody's Investors Service	Address Line1	7 World Trade Center
Type of Procurement	Financial Services	Address Line2	
Award Process	Non Contract Procurement/Purchase Order	City	NEW YORK
Award Date		State	NY
End Date		Postal Code	10007
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$5,526.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Investors Fees

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3. Vendor Name	Ontario County	Address Line1	20 Ontario Street
Type of Procurement	Other	Address Line2	
Award Process	Non Contract Procurement/Purchase Order	City	CANANDAIGUA
Award Date		State	NY
End Date		Postal Code	14424
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$30,000.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Rent, office supplies, staff time, Donation to Public Health Dept

4. Vendor Name	Raymond F. Wager, CPA, P.C.	Address Line1	100 Chestnut St
Type of Procurement	Financial Services	Address Line2	Suite 1200
Award Process	Authority Contract - Non-Competitive Bid	City	ROCHESTER
Award Date	11/17/2017	State	NY
End Date	11/17/2020	Postal Code	14604
Fair Market Value		Plus 4	
Amount	\$5,150.00	Province/Region	
Amount Expended For Fiscal Year	\$5,150.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Auditing Services

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5. Vendor Name	Standard and Poors	Address Line1	55 Water Street
Type of Procurement	Financial Services	Address Line2	
Award Process	Non Contract Procurement/Purchase Order	City	NEW YORK
Award Date		State	NY
End Date		Postal Code	10041
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$5,526.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Bond Rating Fees

Additional Comments